

Serial No.: 10/016,418
Confirmation No.: 8652
Art Unit: 1624

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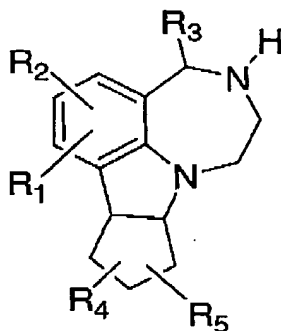
Amendments to the Claims

This listing of claims will replace all prior versions and listings of claims in the application:

Listing of Claims

Claims 1 to 12 (cancelled)

13. (Currently amended) A process for synthesis of a compound of the formula:



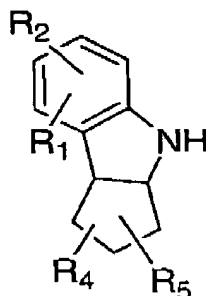
wherein R₁, R₂, R₄ and R₅ are each, independently, hydrogen, hydroxy, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, halogen, fluorinated alkyl of from 1 to 6 carbon atoms, -CN, -NH-SO₂-alkyl of 1-6 carbon atoms, -SO₂-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl or aroyl;

R₃ is hydrogen, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, fluorinated alkyl of from 1 to 6 carbon atoms, -NH-SO₂-alkyl of 1-6 carbon atoms, -SO₂-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl, or aroyl; the process comprising the steps of:

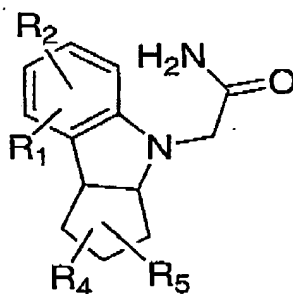
a) treating an indoline a cyclopenta[b]indoline compound of the formula:

Serial No.: 10/016,418
Confirmation No.: 8652
Art Unit: 1624

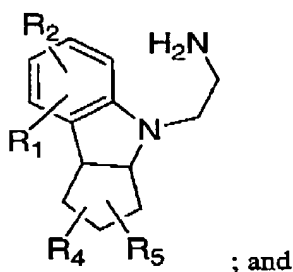
AM100315



with an electrophile to form an optionally substituted acetamide compound of the formula:



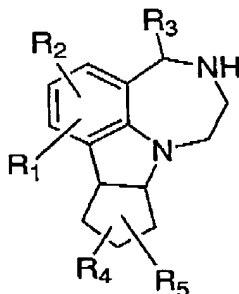
b) treating the optionally substituted acetamide of step a) with a reducing agent to form the corresponding optionally substituted cyclopenta[b]indol-4-yl-amine of the formula:



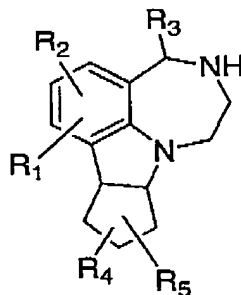
c) treating the cyclopenta[b]indol-4-yl-amine of step b) with an aldehyde in the presence of an acid to form an optionally substituted diaza-benzo[cd]cyclopenta[a] azulene compound of the formula:

Serial No.: 10/016,418
Confirmation No.: 8652
Art Unit: 1624

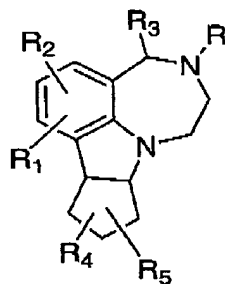
AM100315



14. (Previously presented) The process of Claim 13 further comprising the step of treating the diaza-benzo[cd]cyclopenta[a]azulene compound of the formula:



with an alkylating agent to produce a compound of the formula:

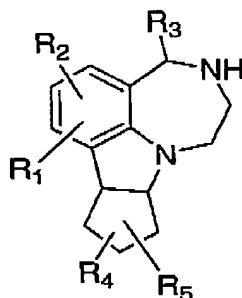


wherein R is alkyl of from 1 to 6 carbon atoms and R₁, R₂, R₃, R₄ and R₅ are as defined in Claim 13.

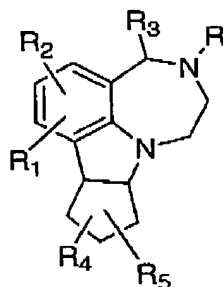
15. (Previously presented) The process of Claim 13 further comprising the step of treating the diaza-benzo[cd]cyclopenta[a]azulene compound of the formula:

Serial No.: 10/016,418
Confirmation No.: 8652
Art Unit: 1624

AM100315

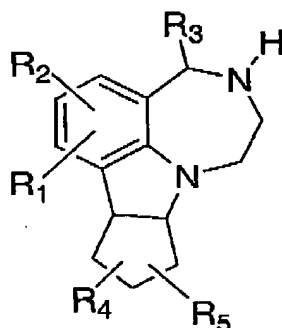


with an acylating agent to produce a compound of the formula:



wherein R is $-\text{C}(\text{O})\text{R}'$; R' is alkyl of from 1 to 6 carbon atoms or aryl;
and R₁, R₂, R₃, R₄ and R₅ are as defined in Claim 13.

16. (Currently amended) A process for preparing a compound of the formula:



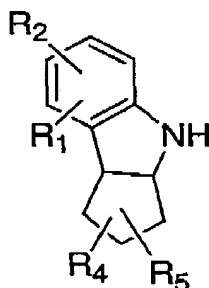
wherein R₁, R₂, R₄ and R₅ are each, independently, hydrogen, hydroxy, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, halogen, fluorinated alkyl of from 1 to 6 carbon atoms, $-\text{CN}$, $-\text{NH}-\text{SO}_2$ -alkyl of 1-6 carbon atoms, $-\text{SO}_2$ -NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl or aroyl;

Serial No.: 10/016,418
Confirmation No.: 8652
Art Unit: 1624

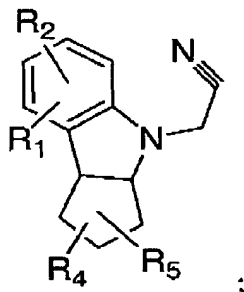
AM100315

R₃ is hydrogen, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, fluorinated alkyl of from 1 to 6 carbon atoms, -NH-SO₂-alkyl of 1-6 carbon atoms, -SO₂-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl, or aroyl; the process comprising the steps of:

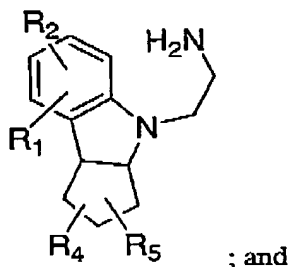
a) treating an optionally substituted ~~indole~~ cyclopenta[b]indoline compound of the formula:



with an electrophile to form an optionally substituted nitrile compound of the formula:



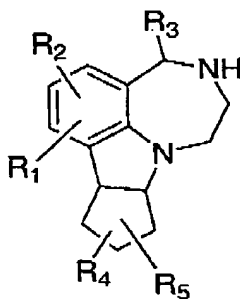
b) treating the optionally substituted nitrile compound of step a) with a reducing agent to provide an optionally substituted amine compound of the formula:



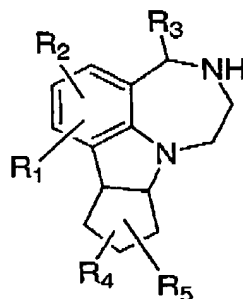
Serial No.: 10/016,418
Confirmation No.: 8652
Art Unit: 1624

AM100315

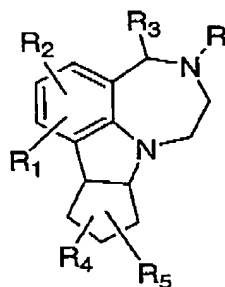
c) treating the amine compound of step b) with an aldehyde in the presence of an acid to form an optionally substituted diaza-benzo[cd]cyclopenta[a]azulene compound of the formula:



17. (Previously presented) The process of Claim 16 further comprising the step of treating the diaza-benzo[cd]cyclopenta[a]azulene compound of the formula:



with an alkylating agent to produce a compound of the formula:

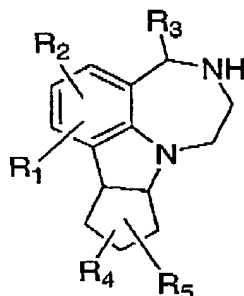


wherein R is alkyl of from 1 to 6 carbon atoms and R₁, R₂, R₃, R₄ and R₅ are as defined in Claim 16.

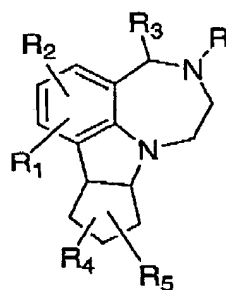
18. (Previously presented) The process of Claim 16 further comprising the step of treating the diaza-benzo[cd]cyclopenta[a]azulene compound of the formula:

Serial No.: 10/016,418
 Confirmation No.: 8652
 Art Unit: 1624

AM100315

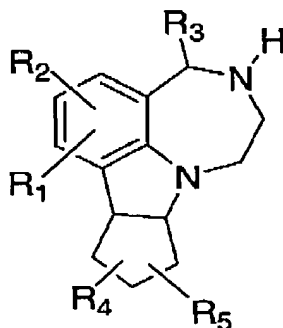


with an acylating agent to produce a compound of the formula:



wherein R is $-C(O)R'$; R' is alkyl of from 1 to 6 carbon atoms or aryl;
 and R₁, R₂, R₃, R₄ and R₅ are as defined in Claim 16.

19. (Previously presented) A process for preparing a compound of the formula:

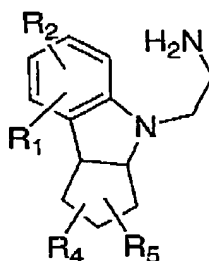


wherein R₁, R₂, R₄ and R₅ are each, independently, hydrogen, hydroxy, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, halogen, fluorinated alkyl of from 1 to 6 carbon atoms, -CN, -NH-SO₂-alkyl of 1-6 carbon atoms, -SO₂-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl or aroyl;

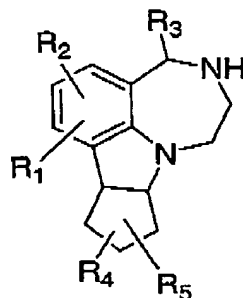
Serial No.: 10/016,418
Confirmation No.: 8652
Art Unit: 1624

AM100315

R₃ is hydrogen, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, fluorinated alkyl of from 1 to 6 carbon atoms, -NH-SO₂-alkyl of 1-6 carbon atoms, -SO₂-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl, or aroyl; the process comprising the steps of:
treating an optionally substituted amine compound of the formula:



with an aldehyde in the presence of an acid to provide an optionally substituted diazabenzocyclopenta[a]azulene compound of the formula:



wherein R₁, R₂, R₃, R₄ and R₅ are defined as above.

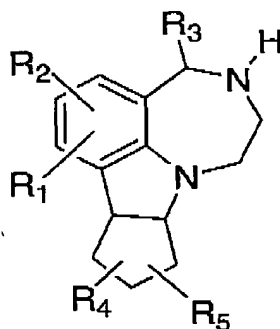
Claims 20 to 22 (canceled)

23. (Previously presented) The process of Claim 19 wherein the aldehyde comprises at least formaldehyde or acetaldehyde.
24. (Previously presented) The process of Claim 23 wherein the acid comprises at least trifluoroacetic acid.

Serial No.: 10/016,418
 Confirmation No.: 8652
 Art Unit: 1624

AM100315

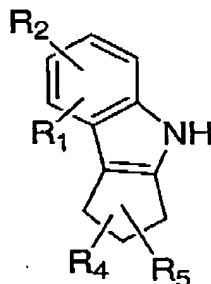
25. (Previously presented) A process for preparing a compound of the formula:



wherein R₁, R₂, R₄ and R₅ are each, independently, hydrogen, hydroxy, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, halogen, fluorinated alkyl of from 1 to 6 carbon atoms, -CN, -NH-SO₂-alkyl of 1-6 carbon atoms, -SO₂-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl or aroyl;

R₃ is hydrogen, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, fluorinated alkyl of from 1 to 6 carbon atoms, -NH-SO₂-alkyl of 1-6 carbon atoms, -SO₂-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl, or aroyl; the process comprising the steps of:

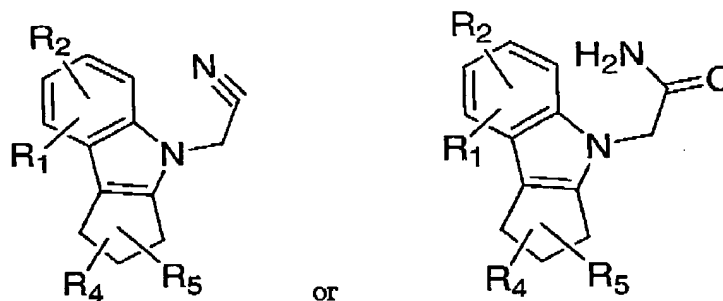
a) treating an optionally substituted cyclopenta[b]indole compound of the formula:



with an electrophile to form an optionally substituted nitrile compound or an optionally substituted cyclopenta[b]indol-4-ylacetamide compound of the formulas:

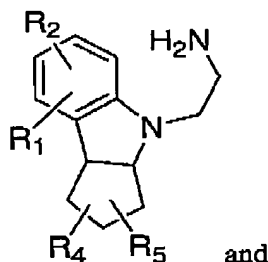
Serial No.: 10/016,418
Confirmation No.: 8652
Art Unit: 1624

AM100315



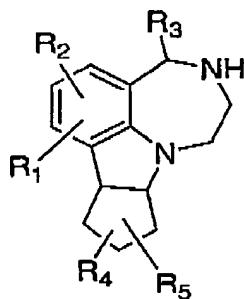
or

b) treating the optionally substituted nitrile compound or optionally substituted cyclopenta[b]indol-4-ylacetamide compound of step a) with one or more reducing agents to provide an optionally substituted amine compound of the formula:



and

c) treating the amine compound of step b) with an aldehyde in the presence of an acid to form an optionally substituted diaza-benzo[cd]cyclopenta[a]azulene compound of the formula:



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